



Attorney's Docket No.: 01996-005001

3764

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James P. Koch
Serial No. : 09/400,817
Filed : September 22, 1999
Title : CUSTOM MOLDED CERVICAL CAP AND METHOD AND KIT FOR FORMING SAME

Art Unit : 3764
Examiner : Unknown

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the Search Report.
Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 5, 2001

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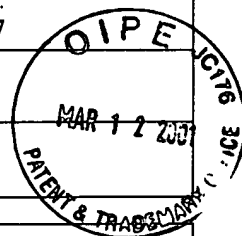
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Julia Doherty
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01996-005001	Application No. 09/400,817
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant James P. Koch	
		Filing Date September 22, 1999	Group Art Unit 3764



U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,952,737	04/27/1976	Lipfert et al.	128	127	08/28/1974
	AB	4,363,318	12/14/82	Goepp et al.	128	130	07/30/1981
	AC	5,123,424	06/23/1992	Koch	128	841	12/03/1990
	AD	5,527,534	06/18/96	Myhling	424	430	10/21/1993
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	WO 94/13452	06/23/1994	PCT				
	AM	WO 94/16652	08/04/1994	PCT				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	PCT Search Report dated December 4, 2000
	AR	
	AS	
	AT	

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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	